

# UCM EMPLOYEE & SUPERVISOR INCIDENT REPORT

Supervisor and employee must complete this report when an occupational injury, illness or incident occurs, or a job-related illness develops gradually (e.g. tendonitis) as a result of UCM employment. If the employee is unable to complete or sign the form, the supervisor or department representative must complete on their behalf.

Email the completed form within 24 hours of knowledge to Workers' Compensation [benefits@ucmerced.edu](mailto:benefits@ucmerced.edu) with a copy to Environmental Health and Safety [diniguez3@ucmerced.edu](mailto:diniguez3@ucmerced.edu).

If injury was to a student that is not employed by UCM, do NOT complete this form. Student should complete the UCM Incident report located <http://risk.ucmerced.edu/report-claim/report-incident> and seek medical treatment at the student health center.

UNIVERSITY OF CALIFORNIA  
**MERCED**

Please contact **Workers' Compensation** if you have any questions about this form or incident reporting requirements:

Email: [benefits@ucmerced.edu](mailto:benefits@ucmerced.edu)  
Phone: (209) 228-8247 Option 4  
Phone: (209) 259-8806  
Website:  
<https://hr.ucmerced.edu/benefits/workers-compensation-0>

**Note: If an accident results in an employee to be hospitalized, other than for observation, for 24 hours or more, or a loss of a limb (amputation) or loss of life, notify Workers' Compensation Office and Environmental Health and Safety (EH&S) immediately. EH&S must report such accidents to OSHA within 8 hours of the event.**

Required fields are marked with an \*

## EMPLOYEE INFORMATION

Employee Name: *		UC Merced ID #: *	Date of Birth:
Address:		Home Phone:	Work Phone:
City:	State:	Zip:	Sex: <input type="checkbox"/> Female <input type="checkbox"/> Male

## EMPLOYMENT INFORMATION

Department: *		Supervisor Name: *	
Occupation:	Job Title:	Date of Hire:	
<input type="checkbox"/> Full time <input type="checkbox"/> Part time	Annual Gross Salary: \$	Paid: <input type="checkbox"/> Monthly <input type="checkbox"/> Biweekly	
Appointment Type: <input type="checkbox"/> Regular <input type="checkbox"/> Limited <input type="checkbox"/> Contract <input type="checkbox"/> Volunteer <input type="checkbox"/> Student		If student, did the incident occur as direct result of course of <input type="checkbox"/> Study or <input type="checkbox"/> Employment?	
Days and hours normally worked: <input type="checkbox"/> Mon ___ hrs <input type="checkbox"/> Tues ___ hrs <input type="checkbox"/> Wed ___ hrs <input type="checkbox"/> Thurs ___ hrs <input type="checkbox"/> Fri ___ hrs <input type="checkbox"/> Sat ___ hrs <input type="checkbox"/> Sun ___ hrs			
Do you have other employment? <input type="checkbox"/> Yes <input type="checkbox"/> No	If yes, where else are you employed?		

## INCIDENT INFORMATION

Incident Type: * <input type="checkbox"/> Injury <input type="checkbox"/> Illness <input type="checkbox"/> Exposure <input type="checkbox"/> Near miss <input type="checkbox"/> Release to the environment <input type="checkbox"/> Vehicle Collision Incident <input type="checkbox"/> Safety Concern			
Specific Injury/Illness/Exposure: *			Body Part(s) affected: *
Date of injury/illness: *	Time of injury/illness: *	Were others injured? <input type="checkbox"/> Yes <input type="checkbox"/> No	Were there witnesses to this incident? <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> Unknown
Incident Location (building, room): *	Address where injury or illness occurred (street, city, state): *		If yes, witness name(s) and phone number(s):

What happened? Describe in detail how the incident occurred (specific activity being performed; equipment, material, tools or chemicals being used): \*

Is this a new injury? * <input type="checkbox"/> Yes <input type="checkbox"/> No	Was the original injury reported? <input type="checkbox"/> Yes <input type="checkbox"/> No
If no, what is the date of the original injury? _____	If yes, who was it reported to? _____
Initial Treatment: * <input type="checkbox"/> Want to see a doctor for treatment <input type="checkbox"/> No Medical Treatment (First Aid Only)	DWC-1 provided? <input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby certify that the information above is true and to the best of my knowledge.

EMPLOYEE SIGNATURE:	Date:
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NOTE: COMPLETING THIS FORM IS NOT AN ADMISSION OF UNIVERSITY LIABILITY

**SUPERVISOR COMPLETES THIS SECTION:**

Supervisor Name: *	Phone:	Email:
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Type of incident? \* ☐ Injury ☐ Illness ☐ Exposure ☐ Near miss ☐ Release to the environment ☐ Vehicle Collision ☐ Safety ConcernOSHA Injury or Illness: ☐ All other illnesses ☐ Hearing loss ☐ Injury ☐ Poisoning ☐ Respiratory condition ☐ Skin disorder**For exposure incidents in labs, contact EH&S at (209) 228-2347 or biosafety@ucmerced.edu**

What was the specific injury/illness or exposure? \*

Describe in detail how the injury/illness occurred, and the specific activity being performed: \*

Location where injury/illness occurred (building, room number): \*

Date of the incident: *	Date employee reported incident: *	Time employee began work: _____ <input type="checkbox"/> AM <input type="checkbox"/> PM	Time employee stopped work: _____ <input type="checkbox"/> AM <input type="checkbox"/> PM
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Is the employee likely to lose additional time from work? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	Is the department willing and able to provide transitional (modified or alternative) work during the employee's recovery? <input type="checkbox"/> Yes <input type="checkbox"/> No
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Was equipment involved? <input type="checkbox"/> Yes <input type="checkbox"/> No	If yes, what type of equipment?	Did equipment malfunction cause the incident? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	<b>If yes, remove equipment, tag for identification, then contact EH&amp;S</b>
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Will the employee seek medical treatment? <input type="checkbox"/> Yes <input type="checkbox"/> No (Receive First Aid Only)	DWC-1 provided? <input type="checkbox"/> Yes <input type="checkbox"/> No	<b>If yes, phone Workers' Compensation for authorization (209) 228-8247 Option 4 or (209) 259-8806</b>
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Other comments:

INITIAL CAUSE	CONTRIBUTING CONDITIONS AND BEHAVIORS	PREVENTIVE ACTIONS	
<input type="checkbox"/> Struck by or against object <input type="checkbox"/> Caught in/under/between <input type="checkbox"/> Contact by/with <input type="checkbox"/> Slip/Trip/Fall <input type="checkbox"/> Material handling/lifting <input type="checkbox"/> Repetitive motion <input type="checkbox"/> Over-exertion <input type="checkbox"/> Chemical exposure <input type="checkbox"/> Explosion <input type="checkbox"/> Body fluid exposure: <input type="checkbox"/> Needle stick <input type="checkbox"/> Sharps <input type="checkbox"/> Animal bite <input type="checkbox"/> Vehicular accident <input type="checkbox"/> Other	<b>Equipment</b> <input type="checkbox"/> Equipment failure <input type="checkbox"/> Equipment unavailable <input type="checkbox"/> Improper equipment used  <b>Personal protective equipment</b> <input type="checkbox"/> Not worn <input type="checkbox"/> Not readily available <input type="checkbox"/> Not adequate for the task <input type="checkbox"/> Protective equipment failure  <b>Training/Experience</b> <input type="checkbox"/> Lack of training <input type="checkbox"/> Safety protocol not followed <input type="checkbox"/> New task or lack of experience  <b>Work Area</b> <input type="checkbox"/> Work area set up improperly <input type="checkbox"/> Inadequate lighting <input type="checkbox"/> Noise issues <input type="checkbox"/> Housekeeping issues <input type="checkbox"/> Environmental factors (rain, wind, temp. etc.) <input type="checkbox"/> Ventilation issues <input type="checkbox"/> Ergonomic factors	<b>Employee</b> <input type="checkbox"/> Physically not able to do work <input type="checkbox"/> Employee fatigue <input type="checkbox"/> Unbalanced or poor position/motion <input type="checkbox"/> Incorrect procedures used for task <input type="checkbox"/> Other unsafe practice  <b>Assistance</b> <input type="checkbox"/> Difficult to perform task without help <input type="checkbox"/> Safety features/devices not available <input type="checkbox"/> Assistive devices not used  <input type="checkbox"/> <b>Lack of policy/procedure</b>  <input type="checkbox"/> <b>Animal</b> (explain below)  <input type="checkbox"/> <b>Other</b>	<b>SUPERVISOR WILL:</b> <input type="checkbox"/> Develop or revise safety procedures <input type="checkbox"/> Request ergonomic evaluation from EH&S <input type="checkbox"/> Request safety training from EH&S <input type="checkbox"/> Order new equipment <input type="checkbox"/> Provide protective equipment <input type="checkbox"/> Remove equipment from use and repair or replace <input type="checkbox"/> Schedule preventive maintenance <input type="checkbox"/> Retrain employee <input type="checkbox"/> Post safety signs <input type="checkbox"/> Reconfigure work area <input type="checkbox"/> Communicate corrective actions to others <input type="checkbox"/> Other  <b>Preventive actions will be completed by:</b>  Name: Expected date of completion:

**List any other actions that will be taken or control measures that will be put in place to prevent recurrence:**

SUPERVISOR OR DEPARTMENT REPRESENTATIVE SIGNATURE:

Date: